

IFG

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450, ON THE DATE INDICATED BELOW.

BY:

Date: 7/11/04

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In Re Patent Application of :  
Dori Laskin et al.  
Conf. No.: 3359 : Group Art Unit: 3624  
Appln. No.: 10/709,360 : Examiner: Not yet assigned.  
Filing Date: April 29, 2004 : Attorney Docket No.: 160245-87US  
Title: METHOD AND APPARATUS FOR DETERMINING PERSONAL QUALIFIED DIVIDEND INCOME AND GENERATING INFORMATION STATEMENTS THEREOF

**REQUEST FOR CORRECTED FILING RECEIPT**

Attached is the original Filing Receipt and a copy of page 1 of the EFS Application Data Sheet which designated a Request for Early Publication at the time of the filing of the above-identified patent application.

The Early Publication Request information on the filing receipt mailed June 25, 2004 is incorrect.

Please change the Early Publication Request information as follows:

**Early Publication Request: Yes**

Also, to ensure the patent application receives early publication, kindly forward this documentation to the appropriate destination.

Please make this correction and provide us with a Corrected Filing Receipt.

Respectfully submitted,

**DORI LASKIN et al.**

July 1, 2004 By: Clark Jablon  
(Date) **CLARK A. JABLON**  
Registration No. 35,039  
**AKIN GUMP STRAUSS HAUER & FELD LLP**  
One Commerce Square  
2005 Market Street, Suite 2200  
Philadelphia, PA 19103-7013  
Telephone: 215-965-1200  
**Direct Dial: 215-965-1293**  
Facsimile: 215-965-1210  
E-Mail: cjablon@akingump.com

CAJ/vlb

Enclosures



UNITED STATES PATENT AND TRADEMARK OFFICE



UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
[www.uspto.gov](http://www.uspto.gov)

CAS

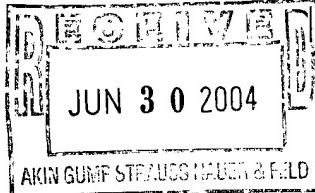
APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/709,360	04/29/2004	3624	1932	160245-87US	20	44	8

CONFIRMATION NO. 3359

000570

AKIN GUMP STRAUSS HAUER & FELD L.L.P.  
ONE COMMERCE SQUARE  
2005 MARKET STREET, SUITE 2200  
PHILADELPHIA, PA 19103-7013

FILING RECEIPT



OC000000013066236\*

Date Mailed: 06/25/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Dori Laskin, Fort Washington, PA;  
Michael A. Bray, West Chester, PA;  
Thomas J. Cresswell, Schwenksville, PA;  
Deanna J. Flores, Havertown, PA;  
Andrea A. Gasser, Broomall, PA;  
Mary H. Pichola, Lafayette Hill, PA;  
Robert P. Smith, West Chester, PA;

Assignment For Published Patent Application

THE VANGUARD GROUP, INC., Malvern, PA;

Domestic Priority data as claimed by applicant

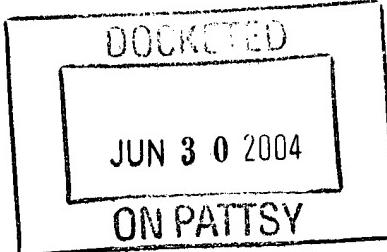
Foreign Applications

If Required, Foreign Filing License Granted: 06/24/2004

Projected Publication Date: 11/03/2005

Non-Publication Request: No

Early Publication Request: No *Yes*



**Title**

Method and Apparatus for Determining Personal Qualified Dividend Income and Generating Information Statements Thereof

**Preliminary Class**

705

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).